

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appl. No. :10/829,598 Confirmation No.6855  
Applicant :Graetz et al.  
Filed :April 22, 2004  
TC/A.U. :1745  
Examiner :Hodge, Robert W  
For :High Capacity Nanostructures Germanium-Containing Materials and  
Lithium Alloys Thereof  
Docket No. :27-06  
Customer No.:23713

Commissioner for Patents  
MAIL STOP AMENDMENT  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF EFS-WEB FILING**

I hereby certify that this correspondence is being filed  
with the USPTO EFS-WEB system.

October 4, 2006  
Date

/nicholascaruso/  
Nicholas Caruso

**SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

The Examiner is respectfully requested to consider the references, copies enclosed, which may qualify as prior art. For the Examiner's convenience, the references are listed on the attached Patent and Trademark Office form PTO/SB/08a. Copies of four references are enclosed herewith.

This information is cited in a spirit of forthrightness and cooperation to enable the applicants to obtain that measure of protection for the invention to which there is entitlement. However, no representation is made that the listed art actually qualifies as prior art under the patent statute and the mere use of PTO/SB/08a is not an admission that all listed references are prior art. No representation is made that applicants know of the best art.

It is believed that this submission does not require the payment of a fee. If this is not correct, please charge any required fee to deposit account no. 07-1969.

Respectfully submitted,

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